

3739 ° Ifw/41

PATENT

Attorney Docket No. 0320-0015 (HOOV 115)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	
In Re Applicacion of:	CERTIFICATE OF MAILING BY "EXPRESS MAIL"
Michael D. Hooven	"Express Mail" Mailing Label No.: EV 322848746 US Date of Deposit June 7, 2004
Serial No.: 10/015,346	I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box
Filed: December 12, 2001	Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
Group Art No.: 3739	) NAME Renee Barthel
Examiner: Rosiland S. Rollins	signature de la Bayla
For: TRANSMIRAL ARLATION DEVICE	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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- 2. Ninth Supplemental Information Disclosure Statement (in duplicate 3 sheets);
- 3. PTO/SB/08A (1 sheet);
- Certificate of Mailing (1 sheet);
- 5. U.S. Patent Publication (1); and
- 6. Return Receipt Postcard.

Name: Renee Barthel
Signature: Renee Barthel



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PTO/SB/21 (08-00)
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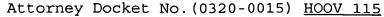
Application Number	10/015,346	
Filing Date	December 12, 2001	
First Named Inventor	Michael D. Hooven	
Group Art Unit	3739	
Examiner Name	Rosiland S. Rollins	
Attorney Docket Number	(HOOV 115) 0320-0015	

		ENCLOSURES (c	heck all that apply)		
Fee Transmittal For	m	Assignment Papers (for an Application)	After Allowance Communication to Group		
Fee Attache	d	Drawing(s)	Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition	Proprietary Information		
Affidavits/de	eclaration(s)	Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence	Second Status Letter		
Extension of Time F	Extension of Time Request		Other Enclosure(s) (please identify below):		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. Gary W. McFarron, Esq. (Reg. No. 27,357)					
Signature Mary W. Mctarum					
Date	Date June 7, 2004				
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re Application of:			
Michael D. Hooven			
Serial No.: 10/015,346			
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For: TRANSMURAL ABLATION DEVICE			

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NAME Renee Barthel

SIGNATURE

#### NINTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to the document listed on the attached form, which the document may be material to the examination of this application. A copy of the document is enclose herewith for the Examiner's consideration.

No inference should be drawn that any apparatus or method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of the document herein is not to be construed as an admission that any subject matter disclosed in the document

is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of the document discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Information Disclosure Statement is being mailed after the mailing date of a first Office Action on the merits but before a final action or an action that closes prosecution. The cited information was first called to Applicant's attention in an Office Action dated May 21, 2004 in related Application Number 10/015,355 filed on December 12, 2001. Accordingly, pursuant to 37 C.F.R. § 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the person signing this certification after making a reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to filing of this information disclosure statement. Consequently, as provided in 37 C.F.R. § 1.97(c), a fee under §1.17(p) is not

required for the filling of this information disclosure. However, if it is determined that an additional fee is required, then authorization is hereby granted to charge Deposit Account 50-1039 any additional fee required.

(A duplicate of this document is enclosed herewith.)

Respectfully submitted,

Date: June 7, 2004

Gary W. McFarron, Esq. Registration No. 27,357

Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. 200 West Adams St., Suite 2850 Chicago, IL 60606 Telephone: (312) 236-8500

Telephone: (312) 236-8500

Attorneys for Applicant

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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Complete if Known			
Application Number	10/015,346		
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First Named Inventor	Michael D. Hooven		
Art Unit	3739		
Examiner Name	Rosiland S. Rollins		
Attorney Docket Number	0320-0015 (HOOV 115)		

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 6,050,996	04-18-2000	Schmaltz et al.	
		US-			
		US-			
	1	US-			
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
<u> </u>						

Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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